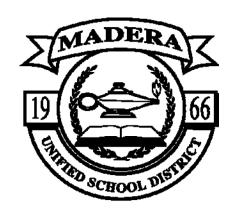
## **Madera Unified School District**



# **King Husein School**

## **Prequalification Application**

General Contractors for Lease-Leaseback Contract 2022

### **PREQUALIFICATION PROCEDURES**

#### **Notice to Contractors**

Pursuant to Education Code section 17406 and Public Contract Code section 20111.6, school districts in California that use the Lease-Leaseback delivery method or use any state funds to finance the construction of their local schools will be required to prequalify the general contractors, mechanical contractors, electrical contractors, and plumbing contractors (MEP) that seek to bid or negotiate a district's construction projects. (Public Contract Code section 20111.6) Mechanical, electrical and plumbing contractors subject to this requirement are those with any of the following license classifications: C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43 and C-46. AB 1565 was passed in 2012, and takes effect for all projects awarded after January 1, 2014. School districts with an average daily student attendance of 2,500 or less, and projects that have a projected expenditure of \$1,000,000 or less, are exempted from AB 1565.

It is mandatory that all general contractors fully complete each part of this Prequalification Questionnaire, provide all materials requested herein, and be approved by the District in order to participate in a Request for Proposal and Qualifications (RFP/Q No. 102122) for a Lease-Leaseback contract on the King Husein School construction project that is projected to exceed an expenditure of \$1,000,000.

If two or more businesses intend to perform a construction project as a joint venture, each entity within the Joint Venture must be separately prequalified.

Notice is hereby given by Madera Unified School District (District) that **general contractors** planning to participate in the Request for Proposal and Qualifications (RFP/Q No. 102122) for a Lease-Leaseback contract on the King Husein School will be required to prequalify prior to submitting a proposal. The specific Prequalification application package for the King Husein School is available on the District's website: (http://www.madera.k12.ca.us/site/default.aspx?PageID=6984)

### Timeline and Submission

The Prequalification Packages will be received no later than 4:00 pm Thursday. November 3, 2022, at the Madera Unified School District's Facilities Planning and Construction Management Department, located at 1205 South Madera Avenue, Madera CA (downstairs). Prequalification applications need to be submitted in a sealed envelope marked 'Prequalification Application – General Contractors for Lease-Leaseback Contract for King Husein School 2022, Attention: Rosalind Cox.' (Include 3 copies.)

The pre-qualification deadline for M.E.P subcontractors will be set after the LLB contract is awarded to the successful General Contractor.

This prequalification packet includes a questionnaire and financial statement, to be verified under oath. The District will use the information and documentation required by the packet to prequalify general contractors in accordance with applicable law.

The questionnaire answers and financial statements included in the prequalification packages submitted by contractors are not public records and are not open to public inspection. All such information provided will be kept confidential to the extent permitted by law, although the contents may be disclosed to third parties for the purpose of verification, investigation of substantial allegations, and in the process of any subsequent proceedings. State law requires that the names of contractors applying for prequalification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

### Notification of Determination

The District will issue the Notification of Determination within 5 business days of the submission deadline of the prequalification questionnaire. Contractors that submit a prequalification questionnaire will be notified in a Notice of Determination regarding their firm's status, whether or not they are prequalified to submit a proposal on the King Husein School project.

The District will deliver a written Notice of Determination to each contractor that has submitted a prequalification questionnaire. If the District determines that a contractor is not qualified or responsible to submit a proposal, the District shall also notify the Contractor of the basis of the determination and any supporting evidence obtained from Third parties or through investigation.

### **Questionnaire**

All questions in the questionnaire must be answered. If a question is not applicable, then indicate a response of N/A. 'You' or 'Yours' as used herein refers to the prospective contractor's firm and any of its owners, officers, principals and qualifying individuals. If two or more business entities submit a proposal on a project as a joint venture, or expect to submit a proposal as part of a joint venture, each entity within the joint venture must be separately qualified to submit a proposal.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the contractor on whose behalf that person is signing. If any information provided by a contractor becomes inaccurate, the contractor must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Each prospective general contractor shall have a duly authorized owner, officer or principal complete the questionnaire and verify the truth of the information provided therein and in the financial statement.

#### Evaluation by the District

The District will evaluate the information provided and issue each submitting contractor a rating of *prequalified* or *not prequalified*. The District's decision will be based on the information provided, references, and such additional outside information as the District in its discretion deems reasonable and necessary to obtain. The District may, but is not obligated to, investigate the truth of any statements or information provided by a prospective general contractor in response hereto.

#### Appeals Procedure

The following procedures apply when an applicant that is denied prequalification wishes to challenge that denial. Contractor's costs for the appeals will be undertaken at the Contractor's expense.

An applicant that is denied prequalification has the right to appeal that denial unless that applicant failed to complete the prequalification questionnaire and/or provide documents identified in the prequalification questionnaire.

The Contractor initiates an appeal by delivering to the District a written notice requesting a hearing and setting forth in general terms the basis of the appeal. The Contractor must deliver the written notice to the same location that it delivered the prequalification submittal. The Contractor must deliver such written notice within 5 business days following the date of the District's Notice of Determination in which the District denied prequalification. The Contractor waives the right to appeal the District's decision if it fails to deliver the notice within 5 business days.

The Deputy Superintendent for the Madera Unified School District, will conduct a hearing on the appeal no later than 5 business days following the contractor's delivery of the written notice of the appeal. The hearing conducted by the Deputy Superintendent will be informal and is not an evidentiary hearing. At the hearing, the Contractor will be given the opportunity to present information and reasons in opposition to the District's determination. The Deputy Superintendent will consider all evidence, information and arguments submitted by the Contractor relevant to the District's determination, the District's response to such evidence, information and arguments, and any other information the Deputy Superintendent deems relevant.

Within 5 business days following the hearing, the Deputy Superintendent will provide a written decision whether the Contractor is qualified or not qualified. The written decision is the final determination of the issue, and the Contractor shall have no further administrative appeals.

The procedure and time limits set forth above are mandatory and the Contractor's sole and exclusive remedy in the event of protest. Failure to comply with these procedures shall constitute a waiver of any right to further pursue the protest, including filing a Government Code claim or legal proceedings.

### Request for Proposal

Proposals will not be accepted from any contractor that did not timely submit a completed prequalification questionnaire and supporting documents, including financial statements, to the District and subsequently received a prequalified approval to submit a proposal for the construction of the King Husein School. Omission of requested information, falsification of information, or failing to use the forms provided by the District will result in a finding of *not prequalified*.

Furthermore, the District reserves the right to re-consider the *prequalified* status of a contractor(s), between the time of the prequalification and the acceptance/awarding of proposal, if it is determined that the contractor no longer meets the criteria for prequalification (e.g., validity of information provided to the District, change of insurance coverage or bonding capacity).

The District reserves the right to amend the prequalification packet at any time. The District reserves the right to waive minor irregularities and omissions in the information contained in the prequalification application submitted and to make all final determinations. Additionally, a determination by the District that a contractor is prequalified does not amount to a final determination that such contractor is responsible or responsive for purposes of proposal evaluation. The District may, in accordance with applicable law reject a prequalified contractor's proposal, and the District may additionally reject all proposals if it determines such action is in the best interest of the public.

### PREQUALIFICATION APPLICATION

### Part I: Contractor's General/Financial Information Sheet

NOTE: Information provided in response to Part I must be complete to the District's satisfaction. Failure to provide all requested information shall result in a *not prequalified* rating.

A. General Inform	ation.						
Contractor's name a	s it appears on license	::					
CIRCLE ONE:	Corporation	Partnership	Sole Proprietorship				
Contact Person:							
Street Address (P.O.	Street Address (P.O. Box is not acceptable):						
Telephone: ()		Fax: <u>(</u> )					
E-mail address:							
California contracto	r licenses held by you	r firm:	nd expiration dates of the				
names of the qualify		ed on the CSLB records	ion or partnership, list below the who meet(s) the experience and				

В.	B. History and Ownership of Firm.					
1.	How many years has your firm been in business in California as a contractor under your present business name and license number? years					
2.	Has there been any char	nge in ownership of the f	irm at any time d	luring the	e last three years?	
	NOTE: A corporation question; please indica	_	•	_	ed to answer this	
	□Yes □No If ye	es, please provide details	on a separate sig	ned page	2.	
3.	Is the firm a subsidiary,	parent, holding company	y or affiliate of a	nother co	onstruction firm?	
	NOTE: Include informanother.	nation about other firm	s if one firm ow	ns 50 pe	rcent or more of	
	□Yes □No If ye	es, please provide details	on a separate sig	ned page	2.	
4.	Please provide the infor	mation appropriate to yo	ur firm below.			
Fo	r Firms That Are Corpo	orations:				
Da	te incorporated:	Un	der the laws of w	hat state	:	
(pr	ovide all the following intestident, vice president, seporation's stock.					
N	ame	Position	Years with Cor	npany	% Ownership	
Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.  NOTE: For this question, 'owner' and 'partner' refer to ownership of ten percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.						
Per	son's Name	Construction Firm	Construction Firm		Dates of Person's Participation with Firm	

For Firms That Are Pa	rtnerships:				
Date of formation:	Under the law	s of wha	t state:		
Provide all the following	g information for each	n partner who owns 10	percent o	or more of the firm.	
Name	Position	Years with Co	mpany	% Ownership	
Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.  NOTE: For this question, 'owner' and 'partner' refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.  Person's Name  Construction Company  Dates of Person's Participation with Company					
For Firms That Are Sole Proprietorships:					
Date of commencement of business:					
Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.					
NOTE: For this ages	tion 'owner' and 'n	artner' refer to owne	ershin of	ten nercent or more of	

NOTE: For this question, 'owner' and 'partner' refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

5. Please list the six (6) most recent (completed or in-progress) public works projects and the three (3) largest in the last 3 years. Include total construction costs and completion date.

Note: Three (3) largest projects in the last 3 years must total at least \$75 million.

	6 Most Recent Public Works Projects	Completion Date	Construction Cost
1.			
2.			
3.			
4.			
5.			
6.			
	3 Largest Public Works		
1.			
2.			
3.			
Bon	yes, explain on a separate signed page, included ding		
	stimated construction costs of the King Husein uiring a minimum bonding capacity of \$25 mi		
	ame of bonding company/surety:		
. Na			
	ame of surety agent, address and telephone nu	ımber:	
Na — Please Depar	e attach a notarized statement from an admitte trument of Insurance and authorized to issue bo at bonding capacity meets the district requiren	d surety insurer (approved b	

6.

C.

### D. Financial Information.

Please attach your latest reviewed or audited financial statement.

### E. Recent Construction Projects Completed.

Please provide the information requested below about all current public works projects, all public works projects completed in the last seven (7) years and all K-12/DSA public projects completed in the last seven years. You may limit the list to 30 (listed from most recent back,) but list at least 4 projects. Names and references must be current and verifiable.

<u>For general contractor applicants:</u> Only list projects your firm performed as the general contractor in charge of all trades for the construction or modification of a building.

Use separate sheets of paper that contain all of the following information. Please reproduce this page for each project listed.

Project Name:			
Location:			
Owner:			
Owner Contact (name and current phone number):			
Architect or Engineering firm:			
Architect or Engineer Contact (name and current phone number):			
Construction Manager (name and current phone number):			
Inspector of Record (name and current phone #):			
Description of Project, Scope of Work Performed:			
Total Value of Construction (including change orders):			
Date Construction Commenced:			
Original Contractual Completion Deadline:			
Adjusted Completion Deadline Based on Time Extensions Granted by Owner:			
Actual Date of Completion:			
General Contractor's Project Manager (lead contact in office)			
General Contractor's Superintendent (lead contact on project site)			

### F. Local Support

It is imperative that the general contractor provide local support (e.g., daily supervision, be available for unscheduled meetings to respond to real time problems, be available to meet with project team members (i.e., owner, architect, IOR)) to ensure that the project continue without delays. **Local support is within 90 miles of Madera, CA.** 

	escribe how your firm will provide local support for this project. Include key el (superintendent and project manager).
G. A	Apprenticeship Program Information
by the Ca	the name, address and telephone number of the apprenticeship program sponsor(s) (approved alifornia Apprenticeship Council) from whom you intend to request the dispatch of ces to your company for use on any public work project for which you are awarded a contract ict.

### H. List of Required Attachments

- Certificate of Insurance for Workers compensation and General Liability
- Letter of Bond Capacity
- Reviewed or Audited Financial Statement (dated within the past 12 months)
- Accountant's Release Letter
- Prequalification Questionnaire
- California Contractor's License
- Past 8 years of Insurance History

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## PART II: Essential Requirements for Prequalification

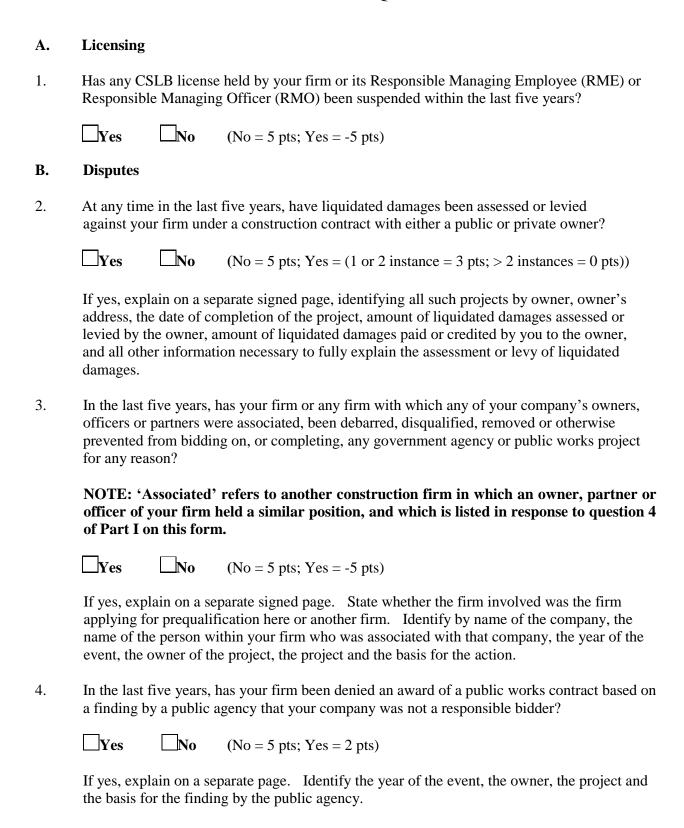
Applicant will be immediately disqualified if the answer to any of the questions 1-3 is 'NO.'

1.	Do you possess a valid and current California contractor's 'A' or 'B' license?
	□Yes □No
2.	The district is requiring a \$5,000,000 general liability insurance policy. If you are a general contractor, do you have a liability insurance policy with a policy limit of at least \$5,000,000 per occurrence? (Please attach a certificate of insurance showing coverage).
	□Yes □No
3.	Do you have current workers' compensation insurance policy as required by the Labor Code or are you legally self-insured pursuant to Labor Code section 3700 <u>et seq.</u> ? (Please attach proof of workers' compensation insurance.)
	□Yes □No
	Contractor is exempt from this requirement, because it has no employees.
Applic	cant will be immediately disqualified if the answer to any of the questions 4-12 is 'YES.'
4.	Has your contractor's license been revoked or suspended at any time in the last five years, even if later reinstated retroactively?
	□Yes □No
5.	At the time of submitting this prequalification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
	□Yes □No
6.	At any time during the last five years, has your firm or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
	□Yes □No
7.	Is your firm currently, or within the last five (5) years, the debtor in a bankruptcy or receivership case?
	$\square_{\mathrm{Yes}} \qquad \square_{\mathrm{No}}$

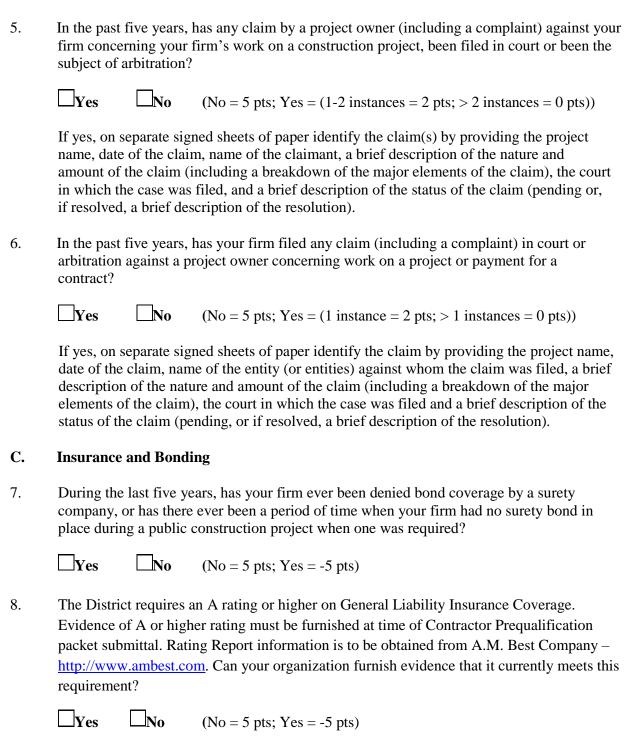
8.	Has a surety firm completed a contract on your behalf, or paid for completion because your firm was default terminated by the project owner within the last five (5) years?
	□Yes □No
9.	Has your firm or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?
	Yes No
10.	Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
	Yes No
11.	Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any act of dishonesty?
	□Yes □No
12.	In the last five (5) years, has your firm been assessed damages (liquidated or actual) for schedule delays on any project?
	□Yes □No

[The remainder of this page is intentionally left blank]

### Part III: Scored Questions



NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about 'pass-through' disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.



D.	Compliance with Law			
9. Has CAL OSHA cited and assessed penalties against your firm for any <i>serious</i> , <i>w repeat</i> violations of its safety or health regulations in the past five years?				
	Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.			
	<b>Yes</b> No (No = 5 pts; Yes = $(1 \text{ instance} = 3 \text{ pts}; 2 \text{ instances} = 2 \text{ pts}; 3 + \text{ instances} = 0 \text{ pts}))$			
	If yes, attach a separate signed page describing each citation.			
10.	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?			
	Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.			
	<b>Yes</b> No (No = 5 pts; Yes = $(1 \text{ instance} = 3 \text{ pts}; 2 \text{ instances} = 2 \text{ pts}; 3 + \text{ instances} = 0 \text{ pts}))$			
	If yes, attach a separate signed page describing each citation.			
11.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?			
NOTE: If you have filed an appeal of a citation and the Appeals Board has no ruled on your appeal, or if there is a court appeal pending, you need not inclu information about the citation.				
	<b>Yes</b> No (No = 5 pts; Yes = $(1 \text{ instance} = 3 \text{ pts}; 2 \text{ instances} = 2 \text{ pts}; 3 + \text{ instances} = 0 \text{ pts}))$			
	If yes, attach a separate signed page describing each citation.			
12.	Does your firm require weekly safety checks?			

13.	a. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:
	NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.
	Current year:
	Previous year:
	Year prior to previous year:
	(Average EMR $<$ .95 = 5 pts; Average EMR .95 $-$ 1 = 3 pts; Average EMR $>$ 1 = 0 pts)
	If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation.
	b. Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?
	<b>Yes</b> No (No = 5 pts; Yes = -2 pts)
E.	Prevailing Wage and Apprenticeship Compliance Record
14.	Has there been any occasion during the last five years on which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the <b>state's</b> prevailing wage laws?
	<b>Yes</b> No (No = 5 pts; Yes = $(1 \text{ instance} = 3 \text{ pts}; 2 \text{ instances} = 2 \text{ pts}; 3 + \text{ instances} = 0 \text{ pts}))$
	NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.
	If yes, attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.
15.	At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?
	<b>Yes</b> No (No = 5 pts; Yes = $(1 \text{ instance} = 3 \text{ pts}; 2 \text{ instances} = 2 \text{ pts}; 3 + \text{ instances} = 0 \text{ pts}))$
	If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).
	If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, and the public agency

	for which it was constructed.					
16.	a. Pursuant to SB854 is your firm registered with Division of Industrial Relations?					
	<b>Yes</b>	No	(Yes = 5 pts; No =	-5 pts)		
	b. Is you of Industria			ed payrolls electron	nically to the Division	
	Yes	$\square$ No	(Yes = 5 pts; No =	-5 pts)		
F.	Performan	ce				
17.	5%. (Include excess. Prov	e job name vide any do	, total construction co	osts, architect and Only include pro	rder rate of more than a brief explanation for <b>jects with construction</b> k 'none.'	
Project			Cost		Architect	
Explana						
Project	Name		Cost		Architect	
Explana	ation					
Project	Name		Cost	:	Architect	
Explana	ation					
Project	Name		Cost	:	Architect	
Explana						
Project	Name		Cost		Architect	

(0 times = 5 pts; 1 instance = 2 pts; 2 instances = 0 pts; 3+ instances = -5 pts)

Explanation\_

documentation.)	
Project NameExplanation	
Project NameExplanation	
Project NameExplanation	
deadlines. (Provide of Project Name	,
Project NameExplanation	Architect
Project Name	
	(None = 5 pts; 1 instance = 0 pts; 2+ instances = -5 pts)

In the last 5 years list any projects that had stop notices filed against it. (Provide

18.

### PART IV: CERTIFICATION

Questionnaires submitted by corporations must be signed with the legal name of the corporation, followed by the name of the state of incorporation and by the signature and designation of the chairman of the board, president or any vice president, and then followed by a second signature by the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name of each person signing shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished.

Questionnaires submitted by partnerships must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters, followed by the signature and designation of the person signing. The name of the person signing shall also be typed or printed below the signature.

### Each person signing below makes the following representations under penalty of perjury:

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true of his or her own personal knowledge. This information is provided for the purpose of qualifying to submit a proposal on the Project, and any individual, company or other agency named herein is hereby authorized to supply the District with any information necessary to verify the prospective general contractor's statements. By signing below, the submitter and the named contractor hereby grant permission to the District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement which is proven to be false shall be grounds for immediate disqualification from submitting a proposal on the Project. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Executed this	day of	, 20, at	
Signature			
Typed Name			
Contractor			